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Effectiveness of intraoperative botulinum toxin injection on gastric emptying during Oesophagectomy: A systematic

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#### **Abstract**

**Introduction**: Oesophagectomy is the standard of care for resectable oesophageal carcinoma and remains pivotal for curative treatment. Pull-up with gastric conduit remains the most common reconstruction. Delayed gastric emptying (DGE) is a recognised complication and may lead to delayed postoperative recovery and prolonged hospital stay. Botulinum Toxin BTA has been used to relax the hypertonic pylorus intraoperatively and improve DGE. The aim of this review was to assess the efficacy of intraoperative BTA on gastric emptying and the need for postoperative endoscopic pyloric intervention (EPI).

Methods: Systematic review of literature was conducted using electronic database searched up to October 2020 including Cochrane Library, Medline, Embase and Goggle Scholars to identify relevant studies. Data was extracted and critically appraised by two independent authors.

Results: Eleven studies were included for systematic review with 1800 patients assigned to BTA, pyloroplasty, pyloromyotomy, no-intervention. observational studies and one was a randomised experimental study. Two studies reported a statistically significant benefit of BTA on early DGE (Day 4, 59%, P< 0.001). Three studies reported higher rates of postoperative EPI when chemical pyloroplasty was used. Length of Hospital stay was higher for patients who had BTA compared to other techniques in three studies.

**Conclusion**: This systematic review did not demonstrate any significant benefit of intraoperative BTA on gastric emptying on the long term. The rate of endoscopic pyloric intervention was higher in these patients as reported by some studies. Further studies are required to investigate the outcome of chemical pyloroplasty during oesophagectomy.

**Keywords:** Mesh Terms used for database search:

- Botulinum toxin and esophagectomy/oesophagectomy
- Botox and esophagectomy/oesophagectomy
- Esophagectomy/oesophagectomy and gastroparesis

#### Introduction

Oesophageal cancer is among the most common gastrointestinal cancers <sup>1</sup>. Oesophagectomy is the standard of care for resectable oesophageal carcinoma and remains pivotal for curative treatment <sup>2</sup>. While several technical approaches exist for performing oesophagectomy, all are associated with significant

morbidity and mortality, in the ranges of 50-60% and 5-11%, respectively <sup>3,4</sup>. Regardless of the surgical approach, pull-up with gastric conduit remains the most common reconstruction <sup>5</sup>. Problems associated with this include a stomach emptying disorders due to bilateral vagotomies. Delayed gastric emptying (DGE) symptoms such as nausea, dysphagia and/or early satiety can be seen in as many as 15%-30% of postoperative oesophagectomy patients <sup>6.7</sup>. These symptoms have been associated with aspiration, prolonged hospital stay and decrease satisfaction in patients post oesophagectomy <sup>8.9</sup>. Several techniques have been described prophylactically treat the hypertonic pylorus intraoperatively and avoid protracted DGE. These interventions can include pyloroplasty (PP), pyloromyotomy (PM), and pyloric injections with botulinum toxin type-A (BI). Additionally, pyloric instrument dilation or dilation by finger fracture (DL) may be performed. Pyloromyotomy and pyloroplasty are generally considered simple procedures; however, they may be complicated by stricture, leak, and even death. Because of these complications, and the limited demonstrated benefit, some advocate not performing an emptying procedure during oesophagectomy <sup>10</sup>.

Botox injection has so far been used in the treatment of achalasia and diabetic gastroparesis <sup>11,12</sup>. Botulinum toxin (BTA) works by blocking presynaptic neuromuscular receptors, capable of creating muscular weakness within moments of its intramuscular injection <sup>12</sup>. Botox injection is also used as an alternative method for the treatment of gastric emptying disorder <sup>9,13</sup>. However, it also has been reported to cause increased incidence of post-operative bile reflux and dumping syndrome <sup>18,23</sup>.

The aim of this review was to assess the safety and efficacy of intraoperative Botulinum toxin Type-A (BTA) therapy during oesophagectomy and the rates of

postoperative endoscopic pyloric intervention needed following surgery.

#### **Materials and Methods**

This review was conducted according to the Preferred Reporting Items for Systematic

Reviews and meta-Analysis (PRISMA) guidelines for systematic review (figure 1) reporting and quality assessment of each trial using Cochrane collaboration tool for assessing risk bias ROBINS-I<sup>23</sup> and RoB2<sup>24</sup>.

This review included Observational studies evaluating the effect of pyloric intraoperative **botulinum toxin** A (BTA) injection during oesophagectomy on gastric emptying. Inclusion criteria were RCTs, Observational studies investigating BTA injection during oesophagectomy in adults 18 years of age or older. Exclusion criteria were studies not published in English, conference articles and abstracts. Non-English studies were excluded to maintain uniformity in demographic distribution of the cohorts included.

The primary outcome measure was the presence of postoperative delayed gastric emptying symptoms post assessed both clinically and radiologically. Secondary outcomes included the rates of endoscopic intervention for DGE symptoms post-surgery and length of hospital stay following surgery.

#### **Search methods**

Electronic database searched up to Oct 2020 included Cochrane Central Register of

Controlled trials in the Cochrane library, Medline, Embase, and Google Scholar.

Key words were mapped to Medline medical subject Heading (MESH) terms and searched for as text items by two independent authors. Hand searches of references of cited journal conducted to identify potential eligible articles for this review. Each title was further screened by review of the abstract and full text to identify matching primary and secondary outcome measures for our study. The included studies were reviewed independently by two authors for data extraction as well as bias determination and results were collaborated accordingly. Any discrepancies at any stage of the study from inclusion to bias determination were resolved by focusing on the primary outcome of our review and exclusion of any study not meeting that criteria as well as independent presentation of results to the third author.

#### **Results**

The literature search identified 263 studies, including 218 in Medline, 31 in Embase and 16 in the Cochrane Central Register of Controlled Trial. Internet-based registry search yielded 14. No randomised clinical trials evaluating BTA on gastric emptying following oesophagectomy were found.

After further screening by the investigative team 165 out of these studies were duplicate and 70 out of 98 studies were excluded because they did not meet the search criteria (achalasia studies, dysmotility studies). The remaining 28 articles were extracted for full text review. Another 13 articles were excluded because they failed to meet the search criteria (abstracts, pilot studies, and registered trials).

Eleven studies were finally included for systematic review Table 1. Ten were observational studies with one randomised experimental study evaluating the effect of intraoperative botulinum toxin therapy during oesophagectomy on gastric emptying. There were 1800 patients in total assigned to either botulinum toxin (BTA), Pyloroplasty (PP), pyloromyotomy (PM) or no intervention (NI) groups.

#### **Study Characteristics**

Extensive database search identified 11 studies with 1941 patients assigned to either BTA with or without DL, PP,

PM or NI. Seven studies reported data on delayed gastric emptying (DGE) clinically and/ or radiologically as a primary outcome <sup>1,2,9,15,17,18, 22</sup>. The need for Endoscopic pyloric intervention (EPI) after surgery was studied in 4 articles <sup>2, 16, 17, 18</sup>. Only 4 studies evaluated the length of hospital stay following surgery <sup>5,17,19,22</sup> (Table 2).

### Assessment of risks of bias

The assessment of risk of bias was done on the observational studies using the seven main components of Cochrane tool ROBINS-I (figure 2).

The randomised experimental study was assessed using the six main component Cochrane tool RoB2. Details of the methodological assessments (can be seen in figures 3 and 4). All the observational studies had serious risk of bias except for one study (Antonoff et al) which had critical risk. Most of this resulted from confounding factors, selection of participants and measurement of outcomes. The experimental study<sup>1</sup> demonstrated some concerns mostly from their randomisation process and deviation from the intended intervention.

#### Discussion

The most suitable method of digestive tract reconstruction after oesophagectomy for oesophageal cancer is the anastomosis of the oesophageal remnant with the stomach<sup>25</sup>. Truncal vagotomy while preparing the gastric conduit for anastomosis is necessary and this in turn leads to diminished function<sup>26</sup>. Other factors may affect the gastric conduit motility include angulation of the conduit at the hiatus and redundancy of the conduit resulting in pyloric dysfunction and delayed gastric emptying. This would manifest as nausea, vomiting and could potentially lead to significant morbidity such as aspiration<sup>8,9</sup>.

Several intraoperative pyloric drainage procedures have been advocated to prevent pyloric dysfunction postoperatively. These include surgical procedures such as pyloroplasty, pyloromyotomy or injection of Botulinum toxin. Chemical pyloroplasty using BTA is a simple and fast method for treatment of the pylorus<sup>14,15</sup>. This literature review identified 11 studies evaluating the effect of intraoperative BTA injection during oesophagectomy.

## Primary outcome: delayed gastric emptying

Seven out of these 11 studies reported DGE as a primary outcome <sup>1,2,4,9,15,16,17</sup>. These studies compared BTA versus other surgical pyloric procedures or no intervention. Only two studies demonstrated statistically significant difference in term of developing DGE after oesophagectomy between groups.

Cerfolio and his colleagues were able to demonstrate benefit of BTA on DGE (p<0.001) along with improved LOS and operative time (p<0.015 & p< 0.037) compared to NI, PM, PP groups. This study eliminated some confounding effects by analysing the results of one technique performed by single operator. Fuchs et al. retrospectively reviewed 41 patients underwent robotic assisted trans-hiatal oesophagectomy and found that patients who had intraoperative BTA (14) had no pyloric dysfunction postoperatively, while eight patients in the no-BTA group (30%) required endoscopic therapy (P < .05). This study is limited by the small sample size.

Bagheri et al. studied 60 patients for the presence of DGE on radiological tests after surgery. He found no significant difference between the two groups and therefore, concluded that BTA is simple less invasive and complication free in preventing delayed gastric emptying. Similarly, Martin et al. reviewed 45 patients that underwent BTA injection during oesophagectomy. Only 2 patients developed clinically significant DGE requiring further intervention. He concluded that BTA is safe, simple and effective means of avoiding DGE.

On the other hand, Stewart et al retrospectively compared 71 patients undergoing minimally invasive oesophagectomy. They found that chemical pyloroplasty did not reduce DGE or other post-operative complications, although he noted that the BTA group had longer median hospital stay (13 vs 11) P 0.009.

# **Secondary outcome: Rate of endoscopic intervention** (EPI)

In terms of requirement for endoscopic pyloric intervention (EPI), we identified four studies <sup>2,17,16,18</sup> that reported EPI in their outcomes. Only one study was a multi-centre study (Marchese et al) and all studies compared BTA to no intervention alone (Stuart et al) or to other pyloric drainage procedures (Pyloroplasty -PP, Pyloromyotomy- PM). Two studies reported statistically significant rate of postoperative endoscopic intervention when chemical pyloroplasty was used <sup>2,18</sup>.

Marchese et al. retrospectively reviewed 90 patients and found that patients who had BTA required more EPI both during inpatient and outpatient settings (P: 0.032 and P: 0.003 respectively). A further sub-group pairwise analysis again demonstrated the same statistical significance for both in-patient and out-patient, between BTA and PP (p=0.038 and 0.011) as well as BTA and NI groups (p=0.038 and 0.010). He concluded that there is no evidence of superiority of pyloric intervention compared to no treatment on gastric emptying. Similarly, Eldaif et al. retrospectively evaluated 322 patients. He concluded that patients in BTA group required more subsequent endoscopic interventions P< 0.001 and developed more reflux symptoms P 0.001. However, the operative time was much less compared to other groups P<0.001. Moreover, In Guiglano et al. study, of the 146 included patients, 26% required EPI, of which BTA group had the highest proportion (31.7%). However, this was not statistically significant. The BTA group also had

the highest odd ratios of EPI when compared to both PM (OR 3.84, p=0.03) and the PP group (OR 2.57, p=0.09).

## Length of hospital stay

The length of stay following oesophagectomy as an outcome appeared in 4 studies <sup>4,17,19,22</sup>. One of these studies, Stewart et al. showed that patients who had BTA at time of oesophagectomy had a longer hospital stay compared to no pyloric intervention (median 13 vs 11 day, P 0.009). However, the reasons for these findings were unclear and it seem unlikely related to BTA intervention. Similar result was also suggested by Fuchs et al (p<0.05) and Cerfolio et al (p<0.015).

A number of confounding factors were identified such as: the brand of BTA, the dosage used, the exact type of operation (minimally invasive, 2-stage, 3-stage oesophagectomy) the precise administration of BTA, the presence or absence of gastric dysmotility prior to surgery and the use of prokinetic drugs. Coleski et al. reported that response to botulinum toxin injection in gastroparesis is dose dependent and more likely to occur in specific subgroups of patients. Thus, it is unclear whether the dosage of botulinum toxin used has any effect on the results of these studies. Five studies <sup>1,2,4,19</sup> used 200 units of BTA while two other studies injected half of this amount 16,18. Stewart et al, used only 10 unit for chemical pyloroplasty.

The limitations of this study include the type of articles included. The majority was single institutional retrospective observational studies and no randomised controlled trials were identified; hence a pooled analysis of the results was not possible.

Given that the included studies are retrospective in nature and the selection of participants was made after the start of interventions, there are some concerns regarding selection bias compared to well conducted prospective randomised clinical trials. Moreover, the lack of standard definition for DGE results in difficulty in comparing outcomes from different studies.

#### Conclusion

Although chemical pyloroplasty intraoperatively with botulinum toxin is simple and safe, this literature review did not demonstrate any statistically significant benefit on gastric emptying following oesophagectomy. The rate of endoscopic pyloric intervention was higher in these patients as reported by some studies. Further studies in the form of multi-institutional randomised clinical trials will be necessary to investigate the outcome of chemical pyloroplasty during oesophagectomy.

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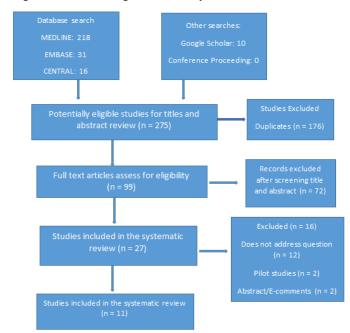
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## **Legend Figures**

Figure 1: Flow Diagram of Study Search



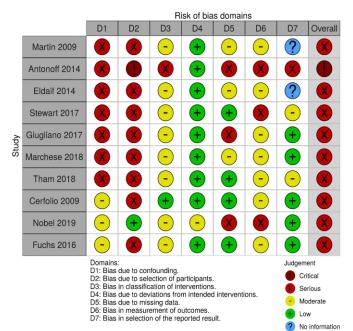
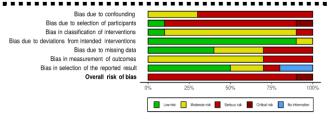


Figure 2: Assessment of risk of bias of the observational studies.



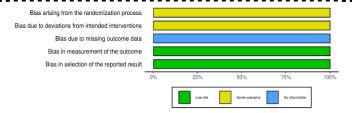


Figure 3: Risk of Bias graph (Observational studies).

Figure 4: Risk of bias graph (Experimental study)

Table 1: Study characteristics

Year of study	Study design	Interventio	Number of	BTA dose	Outcomes
		n	patients		
Bagheri 2012	Experimental	BTA vs PP	30 vs 30	Botox 200 ml at upper	DGE evidenced by barium
	randomised		Total: 60	and lower parts.	and isotope scans.
Stewart 2017	Observational	BTA vs NI	35 vs 36	10 units injected at	DGE: clinical and
	study			anterior pylorus at two	radiological by barium
			Total: 71	sites.	study, EPI, median hospital
			(MIE)		stays.
Marchese 2018	Observational	BTA vs PP	30 vs 30 vs 30	Pyloric digital dilatation	NGT duration, NGT re-
	study	vs NI	Total: 90	and 200 U of BTA	siting, EPI (IP&OP), DGE
				injected into 4 quadrants	symptoms.
Giugliano 2017	Observational	BTA vs PM	41 vs 38 vs 59	100 units of BTA in 10	EPI within 6 months due to
	study	vs PP vs NI	vs 8	ml of solution to pyloric	conduit dysfunction.
			Total: 146	ring	
Martin 2009	Observational	BTA	Total: 45	200 U in 5 ml of 0.9%	DGE: clinical, radiological
	study			saline injected into	(barium and isotope), self-
				pylorus at 4 points.	assessment dysphagia
Antonoff 2014	Retrospective	DL&BTA	44 vs 8 vs 197	200 mg in 8 ml delivered	Aspiration, anastomotic
	observational	vs DL vs	vs 44	circumferentially into the	leak, gastric outlet
	study	PP/PM vs	Total: 293	pylorus.	obstruction, LOS, PP/PM
		NI			complications.
Eldaif 2014	Observational	BTA vs PP	78 vs 199 vs 45	Extraluminal pyloric	LOP, DGE by radiology,
	study	vs PM	Total: 322	injection using 100 U of	anastomotic dilation, EPI,
				BTA in 2 mL of NS (into	postop promotility agents,
				4 separate quadrants)	reflux and/or dumping
					symptoms
Tham 2019	Retrospective	NI vs BTA	163 vs 65	OGD 200 units in 4	DGE (NG output,
	observational		Total 228	separate quadrants or by	radiological), leak rate,
	study.			lap open approach	pneumonia risk, LOS
Fuchs 2016	Retrospective	BTA vs NI	14 vs 27	Robotic, intraluminal	Post-op pyloric stenosis,

 	observational		Total: 41	injection of 200 U in	LOS, M&M
				10ml of 0.9% saline	
Nobel 2019	Retrospective	NI vs BTA	157 vs 53 vs 73	Transabdominal, dose not	Any complication within 90
	observational	vs PP/PM	Total: 283	specified	days
Cerfolio 2009	Retrospective	NI vs PP vs	54 vs 28 vs 71	100 U in four quadrants	DGE, LOS, operative time
	Single centre	PM vs BTA	vs 68 Total 221		

BTA: botulinum toxin A, PP: pyloroplasty, PM: pyloromyotomy, NI: no intervention, DL: digital dilatation, EPI: endoscopic pyloric intervention, LOS: length of stay, LOP: length of procedure, M&M: morbidity and mortality Table 2: Summary of statistically significant results.

Year of study	Statistically significant outcome	P value	In favour of	
Bagheri 2012	-	-		
Stewart 2017	LOS	0.009	Not in favour of BTA	
	Operative time	0.001		
Marchese 2018	NGT duration	0.001	Not in favour of BTA	
	IP EPI	0.032		
	OP EPI	0.003		
Giugliano 2017 EPI (BTA vs PM)		0.03	No superiority of any intervention	
Martin 2009	-	-		
Antonoff 2014	Aspiration	0.03	In favour of any pyloric drainage	
Eldaif 2014	30 days mortality	0.009	Not in favour of BTA	
	Dilation of oesophagus	0.001	Not in favour of BTA	
	Dilation of pylorus	0.001	Not in favour of BTA	
	Reflux	0.001	Not in favour of BTA	
	Promotility agent	0.04	Not in favour of BTA	
	LOP	0.001	In favour of BTA	
Fuchs 2016	No pyloric dysfunction, LOS	< 0.05	In favour of BTA	
Nobal 2019	More morbidity with Botox	< 0.0001	Not in favour of BTA	
Tham 2019	-	-		
Cerfolio 2009	DGE improved	< 0.001	In favour of BTA	
	LOS	< 0.015		
	Operative time	< 0.037		

EPI: endoscopic pyloric intervention, BTA: botulinum toxin, IP/OP: inpatient/outpatient, LOS: length of stay, LOP: length of procedure.